



Attorney Docket No. 1125-2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Patent Application**

Applicant(s): Paul Owen Livesay  
Serial No.: 09/510,919  
Filing Date: February 22, 2000  
Title: Methods and Apparatus for Providing  
User Anonymity in Online Transactions  
Group: 2755  
Examiner: To Be Assigned

I hereby certify that this paper is being deposited on this date with the U.S. Postal Service as first class mail addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature: Loren M. Harkin Date: May 10, 2000

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Pursuant to 37 C.F.R. §§1.56, 1.97 and 1.98, Applicant's attorney wishes to bring to the attention of the Patent and Trademark Office the following documents listed on the accompanying Form PTO-1449. A copy of each listed document is enclosed.

U.S. Patents

U.S. Patent No. 6,026,379 issued on 02/15/00 to Haller et al.

U.S. Patent No. 6,006,200 issued on 12/21/99 to Boies et al.

U.S. Patent No. 5,987,132 issued on 11/16/99 to Rowney

U.S. Patent No. 5,983,208 issued on 11/09/99 to Haller et al.

U.S. Patent No. 5,978,840 issued on 11/02/99 to Nguyen et al.

U.S. Patent No. 5,961,593 issued on 10/05/99 to Gabber et al.

U.S. Patent No. 5,956,699 issued on 09/21/99 to Wong et al.

U.S. Patent No. 5,913,203 issued on 06/15/99 to Wong et al.

U.S. Patent No. 5,907,677 issued on 05/25/99 to Glenn et al.

U.S. Patent No. 5,884,272 issued on 03/16/99 to Walker et al.

U.S. Patent No. 5,812,668 issued on 09/22/98 to Weber

U.S. Patent No. 5,729,537 issued on 03/17/98 to Billström

U.S. Patent No. 5,671,280 issued on 09/23/97 to Rosen

U.S. Patent No. 5,557,518 issued on 09/17/96 to Rosen

Other Documents

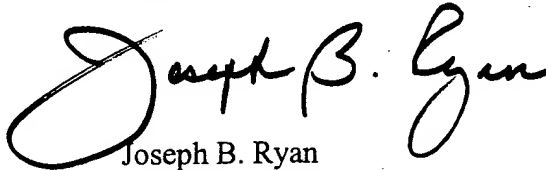
1. Visa-Electronic Commerce-SET, Internet Shopping, <http://www.visa.com/nt/ecom/security/set.html>, 4 pages, January 19, 2000.
2. "Privacy: Outrage on the Web," Business Week, pp. 38-40, February 14, 2000.
3. Q. Hardy, "Window Shopping," Forbes, pp. 62-64, January 24, 2000.
4. Enonymous™ Advisor, <http://www.enonymous.com>, 5 pages, February 1, 2000.
5. Introducing Freedom™, <http://www.freedom.net/info/>, 5 pages, February 1, 2000.
6. Visa-Merchant Operations Education, <http://www.visa.com/fb/moe/main.html>, 3 pages, January 19, 2000.
7. CBS:MarketWatch.com - Trintech, Entrust Technologies Team Up on Net Security Payments, [http://www.cbsmarketwatch.com/news/current/tpa.htm?source=htx/http2\\_mw](http://www.cbsmarketwatch.com/news/current/tpa.htm?source=htx/http2_mw), 2 pages, February 16, 2000.
8. M. Marriott, "It's Not Big Brother, It's Customer Service," <http://www.nytimes.com/library/tech/00/01/circuits/articles/27serv.html>, 6 pages, January 27, 2000.
9. First Data Merchant Services, Internet Credit Card Processing 101, <http://www.firstdata.com/internetccproc101.html>, 4 pages, March 1, 2000.
10. "OnLine Privacy: It's Time for Rules in Wonderland," Business Week, pp. 83-96, March 20, 2000.

11. L. Goldman, "Credit Slips," Forbes, pp. 56-58, May 1, 2000.

12. L. Lazarony, "How to Exterminate Web Creeps," CBS.MarketWatch.com - How to Protect Your Privacy on the Internet, [http://www.cbsmarketwatch.com/news/current/brm\\_generic.htm?source=htx/http2\\_mw](http://www.cbsmarketwatch.com/news/current/brm_generic.htm?source=htx/http2_mw), 4 pages, May 3, 2000.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made, or as an admission that the information cited is considered to be material to patentability, or as a representation that no other material information exists.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Joseph B. Ryan". The signature is fluid and cursive, with a large initial "J" and a stylized "B".

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Attorney for Applicant(s)

Date: May 10, 2000  
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## FORM PTO-1449 (MODIFIED)

LIST OF PUBLICATIONS FOR  
APPLICANT'S INFORMATION  
DISCLOSURE STATEMENT

Applicant(s): Paul Owen Livesay  
 Case: 1125-2  
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## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS/SUBCLASS	FILING DATE
					IF APPROPRIATE
—	6,026,379	02/15/00	Haller et al.		
—	6,006,200	12/21/99	Boies et al.		
—	5,987,132	11/16/99	Rowney		
—	5,983,208	11/09/99	Haller et al.		
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—	5,729,537	03/17/98	Billström		
—	5,671,280	09/23/97	Rosen		
—	5,557,518	09/17/96	Rosen		

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NO.	DATE	COUNTRY	CLASS/SUBCLASS	TRANSLATION	
					YES	NO

## OTHER DOCUMENTS

EXAMINER INITIAL	REF NO.	AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.
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1. Visa-Electronic Commerce-SET, Internet Shopping, <http://www.visa.com/nt/ecommerce/security/set.html>, 4 pages, January 19, 2000.
2. "Privacy: Outrage on the Web," Business Week, pp. 38-40, February 14, 2000.
3. Q. Hardy, "Window Shopping," Forbes, pp. 62-64, January 24, 2000.
4. Enonymous™ Advisor, <http://www.enonymous.com>, 5 pages, February 1, 2000.

Examiner

Date Considered

**Examiner:** Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

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OTHER DOCUMENTS-(Cont'd)

EXAMINER

INITIAL REF NO. AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.

- \_\_\_ 5. Introducing Freedom™, <http://www.freedom.net/info/>, 5 pages, February 1, 2000.
- \_\_\_ 6. Visa-Merchant Operations Education, <http://www.visa.com/fb/moe/main.html>, 3 pages, January 19, 2000.
- \_\_\_ 7. CBS.MarketWatch.com - Trintech, Entrust Technologies Team Up on Net Security Payments, [http://www.cbsmarketwatch.com/news/current/tpa.htx?source=htx/http2\\_mw](http://www.cbsmarketwatch.com/news/current/tpa.htx?source=htx/http2_mw), 2 pages, February 16, 2000.
- \_\_\_ 8. M. Marriott, "It's Not Big Brother, It's Customer Service," <http://www.nytimes.com/library/tech/00/01/circuits/articles/27serv.html>, 6 pages, January 27, 2000.
- \_\_\_ 9. First Data Merchant Services, Internet Credit Card Processing 101, <http://www.firstdata.com/internetccproc101.html>, 4 pages, March 1, 2000.
- \_\_\_ 10. "OnLine Privacy: It's Time for Rules in Wonderland," Business Week, pp. 83-96, March 20, 2000.
- \_\_\_ 11. L. Goldman, "Credit Slips," Forbes, pp. 56-58, May 1, 2000.
- \_\_\_ 12. L. Lazarony, "How to Exterminate Web Creeps," CBS.MarketWatch.com - How to Protect Your Privacy on the Internet, [http://www.cbsmarketwatch.com/news/current/brm\\_generic.htx?source=htx/http2\\_mw](http://www.cbsmarketwatch.com/news/current/brm_generic.htx?source=htx/http2_mw), 4 pages, May 3, 2000.

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